

# Certain representations with unique models

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- ▶  $\mathbb{H}$ : the quaternion algebra over  $\mathbb{R}$
- ▶  $\nu : \mathbb{H}^\times \rightarrow \mathbb{R}_{>0}$
- ▶  $\text{tr} : \mathbb{H} \rightarrow \mathbb{R}$
- ▶  $\psi : \mathbb{R} \rightarrow \mathbb{C}^\times$  nontrivial additive character
- ▶  $N = \left\{ u = \begin{pmatrix} 1 & x \\ & 1 \end{pmatrix} \in \text{GL}_2(\mathbb{H}) \right\}$ .
- ▶  $\psi_N(u) = \psi(\text{tr}(x))$

- ▶  $\pi$ : an irreducible 5-dimensional representation of  $\mathbb{H}^\times$
- ▶ the normalized parabolic induction

$$\pi \times \nu\pi$$

has a unique irreducible subrepresentation  $\theta(\pi)$ .

### Question

$\dim \text{Hom}_N(\theta(\pi), \psi_N) = ?$

- A 25
- B 10
- C 1
- D 0

# Uniqueness of Whittaker models, I

- ▶  $F$ : non-Archimedean local field
- ▶  $\psi : F \rightarrow \mathbb{C}^\times$ , a nontrivial additive character
- ▶  $GL_n$  (more generally, quasi-split groups)
- ▶ Write  $GL_n$  for  $GL_n(F)$
- ▶  $\nu = |\det| : GL_n \rightarrow \mathbb{C}^\times$
- ▶

$$N_n = \left\{ u = \begin{pmatrix} 1 & u_{12} & * & \cdots & * \\ & 1 & u_{23} & \cdots & * \\ & & 1 & \cdots & * \\ & & & \ddots & \\ & & & & 1 \end{pmatrix} \in GL_n \right\}.$$

A generic character  $\psi_n : N_n \rightarrow \mathbb{C}^\times$  is of the form

$$\psi_n(u) = \psi(u_{12} + u_{23} + \cdots + u_{n-1,n}).$$

# Uniqueness of Whittaker models, II

## Theorem (Uniqueness of Whittaker models)

For  $\pi \in \text{Irr}(\text{GL}_n)$ ,

$$\text{Hom}_{N_n}(\pi, \psi_n) = \text{Hom}_{\text{GL}_n}(\pi, \text{ind}_{N_n}^{\text{GL}_n} \psi_n)$$

is of dimension  $\leq 1$ . Equivalently,

$$\dim J_{N_n, \psi_n}(\pi) \leq 1.$$

When the dimension is 1, we say that  $\pi$  is generic (or  $\psi_N$ -generic) or  $\pi$  has a Whittaker model.

# Uniqueness of Whittaker models, III

## Applications

- ▶ Such properties play important roles in the construction of many global integrals. (Use unique models to obtain Eulerian integrals.)
- ▶ Can be used to study the analytic properties of certain Langlands  $L$ -functions.
- ▶ For example, the Rankin-Selberg integrals and Langlands-Shahidi method.

# Non-generic representations

When  $\pi$  does not have any Whittaker model, we say that  $\pi$  is non-generic.

## Degenerate models

Non-generic representations admit unique models of degenerate type.

# Derivatives, I

- ▶ Mirabolic subgroup

$$P_n = \left\{ \begin{pmatrix} g & v \\ 0 & 1 \end{pmatrix} : g \in \mathrm{GL}_{n-1}, v \in F^{n-1} \right\}.$$



$$U_n = \left\{ \begin{pmatrix} I_{n-1} & v \\ 0 & 1 \end{pmatrix} : v \in F^{n-1} \right\}.$$

- ▶  $P_n = \mathrm{GL}_{n-1} \ltimes U_n$
- ▶ the restriction of  $\psi_n$  gives a character of  $U_n$



# Derivatives, II

## Several functors

- ▶  $\Psi^-(\pi) = J_{U_n}(\pi) = \pi / \langle \pi(u)v - v : u \in U_n, v \in \pi \rangle$ . This gives

$$\Psi^- : \text{Rep}(P_n) \rightarrow \text{Rep}(\text{GL}_{n-1}).$$

- ▶  $\Phi^-(\pi) = J_{U_n, \psi_n}(\pi) = \pi / \langle \pi(u)v - \psi_n(u)v : u \in U_n, v \in \pi \rangle$   
and this gives

$$\Phi^- : \text{Rep}(P_n) \rightarrow \text{Rep}(P_{n-1}).$$

- ▶  $k$ -th derivative

$$\pi^{(k)} = \Psi^- \circ (\Phi^-)^{(k-1)}(\pi|_{P_n}).$$

This gives a functor

$$\text{Rep}(\text{GL}_n) \rightarrow \text{Rep}(\text{GL}_{n-k}).$$

# Derivatives, III

- ▶ The  $n$ -th derivative is the functor  $J_{N_n, \psi_n}$ .
- ▶ Let  $k_0$  be the maximal  $k$  such that  $\pi^{(k)} \neq 0$ . Then  $\pi^{(k_0)}$  is called the highest derivative of  $\pi$ . Notation:  $k_0 = ht(\pi)$ .
- ▶ If  $\pi$  is generic, then the highest derivative of  $\pi$  is the  $n$ -th derivative.

## Derivatives, IV

### Example (Speh representations)

If  $\tau \in \text{Irr}(\text{GL}_n)$  is discrete series, then the normalized parabolic induction

$$\tau \times \tau\nu \times \cdots \times \tau\nu^{\ell-1}$$

has a unique irreducible subrepresentation  $\theta(\tau, \ell) \in \text{Irr}(\text{GL}_{n\ell})$ .

In particular, if  $\tau : \text{GL}_1 \rightarrow \mathbb{C}^\times$  is a character, then

$$\theta(\tau, \ell) = \tau \circ \det.$$

### Generally

If  $\tau \in \text{Irr}(\text{GL}_n)$  is generic and unitary, then  $\tau = \tau_1 \times \cdots \times \tau_m$  for  $\tau_1, \dots, \tau_m$  essentially discrete series. Define

$$\theta(\tau, \ell) = \theta(\tau_1, \ell) \times \cdots \times \theta(\tau_m, \ell).$$

# Derivatives, V

- ▶ the highest derivative of  $\theta(\tau, \ell)$  is  $\theta(\tau, \ell)^{(n)}$  “=”  $\theta(\tau, \ell - 1)$ .

More generally,

## Theorem (Zelevinsky)

If  $\pi$  is irreducible, then its highest derivative  $\pi^{(k)}$  is also irreducible.

## Derivatives, VI

Given  $\pi \in \text{Irr}(\text{GL}_n)$ , we can take highest derivatives repeatedly:

$$k_1 = \text{ht}(\pi), \quad \pi_1 = \pi^{(k_1)},$$

$$k_2 = \text{ht}(\pi_1), \quad \pi_2 = \pi_1^{(k_2)},$$

...

$$k_m = \text{ht}(\pi_{m-1}), \quad \pi_m = \pi_{m-1}^{(k_m)}.$$

This gives a partition  $(k_1 k_2 \cdots k_m)$  of  $n$ .

- ▶  $\pi_m$  is of the form  $J_{N_n, \psi_{(k_1 \cdots k_m)}}(\pi)$  for some degenerate character  $\psi_{(k_1 \cdots k_m)}$ .
- ▶ By the Frobenius reciprocity, this gives a degenerate model for  $\pi$ .
- ▶ By the theorem of Zelevinsky,  $\pi_m$  is an irreducible representation of  $\text{GL}_0$ , which must be one-dimensional.

# Nilpotent orbits, I

## Summary:

- ▶ by computing derivatives, one can find a partition  $(k_1 k_2 \cdots k_m)$  and a unique model for  $\pi$ .
- ▶  $(k_1 k_2 \cdots k_m)$  is the “maximal” partition (or nilpotent orbit) that support nonzero models for  $\pi$ .

## Nilpotent orbits, II

More generally, given a reductive group  $G$ , to every coadjoint nilpotent orbit  $\mathcal{O} \subset \mathfrak{g}^*$  and every  $\pi \in \text{Rep}(G)$ , we associate a certain generalized Whittaker quotient  $\pi_{\mathcal{O}}$ .

- ▶ Let  $\text{WO}(\pi)$  denote the set of all nilpotent orbit  $\mathcal{O}$  with  $\pi_{\mathcal{O}} \neq 0$
- ▶  $\text{WS}(\pi)$  denote the set of maximal orbits in  $\text{WO}(\pi)$  with respect to the closure ordering.

### Example

- ▶ Nilpotent orbits of  $\text{GL}_n$  are classified by the partitions of  $n$  via the Jordan canonical decomposition.
- ▶  $\text{WS}(\theta(\tau, \ell)) = \{(n^\ell)\}$ .

# Nilpotent orbits, III

## Character expansion

One can define the character  $\chi_\pi$  of  $\pi$  as a distribution and we have a character expansion

$$\chi_\pi = \sum_{\mathcal{O}} c_{\mathcal{O}} \hat{\mu}_{\mathcal{O}}.$$

where the sum is over the set of nilpotent orbits.

## Theorem (Mœglin-Waldspurger, Varma)

The set  $WS(\pi)$  is the same as the maximal elements such that  $c_{\mathcal{O}} \neq 0$ .

Moreover, for  $\mathcal{O} \in WS(\pi)$ ,  $\dim \pi_{\mathcal{O}} = c_{\mathcal{O}}$ .



# Nilpotent orbits, IV

## Example

For  $\theta(\tau, \ell)$ ,  $c_{(n^\ell)} = 1$  and

$$\chi_{\theta(\tau, \ell)} = \hat{\mu}_{(n^\ell)} + \text{other terms.}$$

## Archimedean case

- ▶ There are irreducible representations without unique models.
- ▶ The Archimedean version of Mœglin-Waldspurger's theorem has not been proven.

# Division algebras, I

- ▶  $D$ : central division algebra over  $F$  of dimension  $d^2$
- ▶ Consider  $GL_{n,D}$
- ▶ Nilpotent orbits of  $GL_{n,D}$  are classified by partitions of  $n$ .  
Notation:  $(n_1 \cdots n_m)_D$ .

## Unique models?

Unfortunately, uniqueness of models fails in general.

# Division algebras, II

## Question

Find representations of  $GL_{n,D}$  with unique models.

## Example (Case $n = 1$ )

There is no non-trivial nilpotent elements in  $D^\times$  but there are irreducible finite-dimensional representations of  $D^\times$  of dimension greater than 1.

Only one-dimensional representations have unique models.

# Jacquet-Langlands correspondence, I

How to construct representations of  $GL_{n,D}$ ?

- ▶ For  $g' \in GL_{n,D}$ , one can define characteristic polynomial
- ▶  $g \in GL_{nd}$ ,  $g' \in GL_{n,D}$
- ▶ Define:  $g \leftrightarrow g'$  if and only if  $g$  and  $g'$  are both regular semi-simple and have the same characteristic polynomials.
- ▶  $\mathcal{O} = (n_1^d \cdots n_m^d)$  in  $\mathfrak{gl}_{nd}^*$  corresponds to  $\mathcal{O}' = (n_1 \cdots n_m)_D$  in  $\mathfrak{gl}_{n,D}^*$
- ▶  $\mathcal{D}_n$ : discrete series of  $GL_n$
- ▶  $\mathcal{D}'_n$ : discrete series of  $GL_{n,D}$

# Jacquet-Langlands correspondence, II

## Theorem (Deligne-Kazhdan-Vignéras)

There is a unique bijection  $C : \mathcal{D}_{nd} \rightarrow \mathcal{D}'_n$  such that for all  $\pi \in \mathcal{D}_{nd}$  we have

$$\chi_\pi(\mathbf{g}) = (-1)^{nd-n} \chi_{C(\pi)}(\mathbf{g}')$$

for all  $\mathbf{g} \in \mathrm{GL}_{nd}$  and  $\mathbf{g}' \in \mathrm{GL}_{n,D}$  such that  $\mathbf{g} \leftrightarrow \mathbf{g}'$ .

## Theorem (Badulescu, Badulescu-Renard)

If  $\pi$  is a ' $d$ -compatible' irreducible unitary representation of  $\mathrm{GL}_{nd}$ , then there exists a unique irreducible unitary representation  $\pi'$  of  $\mathrm{GL}_{n,D}$  and a unique sign  $\varepsilon_\pi \in \{-1, 1\}$  such that

$$\chi_\pi(\mathbf{g}) = \varepsilon_\pi \chi_{\pi'}(\mathbf{g}')$$

for all  $\mathbf{g}' \leftrightarrow \mathbf{g}$ . Notation:  $\pi' = \mathrm{LJ}(\pi)$ .

# Jacquet-Langlands correspondence, III

We will take the later version as it is compatible with a global correspondence.

## Non-Archimedean Strategy

- ▶ (Prasad's result) character relation implies identities  $c_{\mathcal{O}} = \varepsilon_{\pi} c_{\mathcal{O}'}$ , where  $\mathcal{O} \subset \mathfrak{gl}_{nd}^*$  corresponds to  $\mathcal{O}' \subset \mathfrak{gl}_{n,D}^*$ .
- ▶ Idea: find representations of  $GL_{nd}$  with suitable size such that  $\mathcal{O} \in WS(\pi)$  corresponds to  $\mathcal{O}' \in WS(LJ(\pi))$ .
- ▶ (Important!) find representations such that  $\varepsilon_{\pi} = 1$

# Jacquet-Langlands correspondence, IV

## Definition

For a positive integer  $\ell$  and an irreducible generic unitary  $\tau$ , define

$$\theta_D(\tau, \ell) = \text{LJ}(\theta(\tau, d\ell)).$$

- ▶  $\theta(\tau, d\ell)$  is  $d$ -compatible.
- ▶  $\varepsilon_{\theta(\tau, d\ell)} = 1$
- ▶  $\text{WS}(\theta(\tau, d\ell)) = (n^{d\ell})$
- ▶ one can check that  $\text{WS}(\theta_D(\tau, \ell)) = (n^\ell)_D$  with unique models.
- ▶ If  $\tau$  is one-dimensional, then  $\theta(\tau, d\ell) = \tau \circ \det$  and  $\theta_D(\tau, \ell) = \tau \circ \text{Nm}$ .

# Jacquet-Langlands correspondence, V

- ▶  $D$ : unique quaternion algebra over  $F$
- ▶  $\pi$ : Steinberg representation of  $\mathrm{GL}_2$ .
- ▶  $1_{\mathrm{GL}_2}, 1_{D^\times}$ : trivial representations

Then

- ▶  $C(\pi) = 1_{D^\times}$ , but

$$\chi_\pi(g) = -\chi_{1_{D^\times}}(g') \text{ for all } g \leftrightarrow g'.$$

- ▶  $\mathrm{LJ}(1_{\mathrm{GL}_2}) = 1_{D^\times}$  and

$$\chi_{1_{\mathrm{GL}_2}}(g) = \chi_{1_{D^\times}}(g') \text{ for all } g \leftrightarrow g'.$$



# Jacquet-Langlands correspondence, VI

## Archimedean case

The definition of  $\theta_{\mathbb{H}}(\tau, \ell)$  works. Similar results are expected but a different approach is required.

## Global definition

Given a cuspidal representation  $\tau = \otimes'_v \tau_v$  of  $\mathrm{GL}_n(\mathbb{A})$ , one can define

$$\theta_D(\tau, \ell) = \otimes'_v \theta_{D_v}(\tau_v, \ell),$$

and this is a discrete series of  $\mathrm{GL}_{n\ell, D}(\mathbb{A})$ .

One can ask similar questions for global representations (in terms of degenerate Whittaker coefficients).

Note: for central simple algebra  $D_v = M_{r_v}(A_v)$ ,

$$\theta_{D_v}(\tau_v, \ell) = \theta_{A_v}(\tau_v, r_v \ell).$$

# Archimedean case, I

*Can be reduced to the case  $\tau$  discrete series.*

Let  $\tau \in \mathcal{D}(\mathrm{GL}_2(\mathbb{R}))$  and let  $\tau' = \mathbf{C}^{-1}(\tau) \in \mathrm{Irr}(\mathbb{H}^\times)$ . Assume that  $\dim \tau' > 1$ .

## The representation

Then  $\theta_{\mathbb{H}}(\tau, \ell)$  is the unique irreducible subrepresentation of the parabolic induction

$$\tau' \nu^{(1-\ell)/2} \times \tau' \nu^{(3-\ell)/2} \times \dots \times \tau' \nu^{(\ell-1)/2}$$

where  $\nu : \mathbb{H}^\times \rightarrow \mathbb{R}_{>0}$  is the reduced norm.

Then,  $\mathrm{WS}(\theta_{\mathbb{H}}(\tau, \ell)) = (2^\ell)_{\mathbb{H}}$  with unique model.

## Archimedean case, II

The first known result was the case  $\ell = 1$ .

### The case $\ell = 1$

The representation  $\theta_{\mathbb{H}}(\tau, 1)$  is the unique irreducible subrepresentation of  $\tau' \nu^{-1/2} \times \tau' \nu^{1/2}$  and

$$\dim \operatorname{Hom}_{N_{(2)\mathbb{H}}}(\theta_{\mathbb{H}}(\tau, 1), \psi_{(2)\mathbb{H}}) = 1$$

where

$$N_{(2)\mathbb{H}} = \left\{ u = \begin{pmatrix} 1 & x \\ & 1 \end{pmatrix} \right\} \text{ and } \psi_{(2)\mathbb{H}}(u) = \psi(\operatorname{tr}(x)).$$

# Archimedean case, III

## Hang Xue's idea

The construction

$$\tau \mapsto \theta_{\mathbb{H}}(\tau, 1)$$

can be realized as the theta correspondence from  $SL_2 \rightarrow SO(5, 1)$ .

## Gomez-Zhu's result

There is an isomorphism between the Whittaker model for  $SL_2$  and the  $(2)_{\mathbb{H}}$ -model of  $\theta_{\mathbb{H}}(\tau, 1)$ .

How about the case of general  $\ell$ ? (This can be proved using a global method.)

# Kirillov models, I

## Statement

For a generic representation  $\pi$  of  $\mathrm{GL}_n$

$$\mathrm{ind}_{N_n}^{P_n} J_{N_n, \psi_n}(\pi) \rtimes \psi_n \hookrightarrow \pi|_{P_n}.$$

## Representation theory of $P_n$

The group  $P_n$  is the semi-direct  $\mathrm{GL}_{n-1} \ltimes U_n$ . The irreducible representations of  $P_n$  is classified by

- ▶ A orbit  $\mathrm{GL}_{n-1} \cdot X$  of  $\widehat{U}_n$  under the action of  $\mathrm{GL}_{n-1}$  (only two orbits)
- ▶ An irreducible representation  $\tau_X$  of the stabilizer  $M_X$  of  $\psi_X$  in  $\mathrm{GL}_{n-1}$ .

The construction is given by  $\mathrm{ind}_{M_X \ltimes U_n}^{P_n} (\tau_X \rtimes \psi_X)$ .

## Kirillov models, II

Observe that

- ▶ representations coming from different orbits are not isomorphic.
- ▶ As a result, the Kirillov model captures the generic part of  $\pi|_{P_n}$
- ▶ the Kirillov model is a supercuspidal representation.

For a simple division algebra  $D$ , one can introduce

$P_{n,D}$ ,  $N_{n,D}$ ,  $\psi_{n,D}$ ,  $U_{n,D}$  etc. The theory of Kirillov models extends to representations of  $GL_{n,D}$ .

# The global case

*The general case can be reduced to case  $\ell = 1$  by induction in stages.*

the case  $\ell = 1$

Show that, for some  $\varphi \in \theta_D(\tau, 1)$ ,

$$W_\varphi(g) := \int_{N_{n,D}(F) \backslash N_{n,D}(\mathbb{A})} \varphi(ug) \psi_{n,D}(u) du \neq 0.$$

In other words,  $\theta_D(\tau, 1)$  is “ $D$ -generic”.

(We use ideas of Kazhdan-Patterson 1984.)

## Note

If  $D = F$ , the argument below shows the following:

Let  $\tau$  be an automorphic representation of  $\mathrm{GL}_n(\mathbb{A})$ . If  $\tau_{v_0}$  is a generic representation for a non-Archimedean place  $v_0$ , then  $\tau$  is globally generic.

- ▶ Fix a non-Archimedean place  $v_0$ , we already know that  $\theta_D(\tau, 1)_{v_0}$  is “ $D_{v_0}$ -generic”, and therefore has a Kirillov model  $\mathcal{K}_{v_0} \hookrightarrow \theta_D(\tau, 1)_{v_0}$ . It is “ $D_{v_0}$ -cuspidal”.
- ▶ Consider the  $P_{n,D}(\mathbb{A})$ -representation

$$T := \mathcal{K}_{v_0} \otimes \left( \otimes'_{v \neq v_0} \theta_D(\tau, 1)_v \right) \subset \otimes'_v \theta_D(\tau, 1)_v.$$

This is a cuspidal representation.

- ▶ Fourier expansion. For  $\varphi \in T$  and  $g \in P_{n,D}(\mathbb{A})$

$$\varphi(g) = \sum_{\gamma \in N_{n-1,D}(F) \backslash \mathrm{GL}_{n-1,D}(F)} W_\varphi \left( \begin{pmatrix} \gamma & \\ & 1 \end{pmatrix} g \right).$$

- ▶  $\varphi|_{P_{n,D}(F) \backslash P_{n,D}(\mathbb{A})} \neq 0$  since  $Z_{n,D}P_{n,D}(F) \backslash Z_{n,D}P_{n,D}(\mathbb{A})$  is dense in  $\mathrm{GL}_{n,D}(F) \backslash \mathrm{GL}_{n,D}(\mathbb{A})$ .
- ▶ One of  $W_\varphi \left( \begin{pmatrix} \gamma & \\ & 1 \end{pmatrix} g \right) \neq 0$ .



## Archimedean case, IV

We are now back to the Archimedean case.

- ▶  $\tau_\infty \in \mathcal{D}(\mathrm{GL}_2(\mathbb{R}))$
- ▶ Embed  $\tau_\infty$  as the Archimedean component of  $\tau \in \mathrm{Cusp}(\mathrm{GL}_2(\mathbb{A}))$ . (May assume  $F = \mathbb{Q}$ ).
- ▶ Then  $\theta_{D_\infty}(\tau_\infty, \ell)$  is a locally component of  $\theta_D(\tau, 1)$  for a suitable  $D$ . (So  $D_\infty = \mathrm{M}_\ell(\mathbb{H})$ ).

## Archimedean case, V

- ▶ For decomposable  $\varphi$ , we have a decomposition

$$W_\varphi(1) = \lambda_\infty(\varphi_\infty) \cdot \lambda_{fin}(\varphi_{fin}).$$

- ▶ Assume that the dimension of models for  $\theta_{D_\infty}(\tau_\infty, \ell)$  is greater than 1.
- ▶ The Kirillov model: there exists  $\sigma_\infty$  such that  $\dim \sigma_\infty > 1$ ,

$$\mathcal{K}_\infty := \operatorname{ind}_{N_{n,D}}^{P_{n,D}} \sigma_\infty \times \psi_{n,D} \hookrightarrow \theta_{D_\infty}(\tau_\infty, \ell).$$

- ▶ We choose a slice of the Kirillov model such that  $\lambda_\infty$  vanishes:

$$\tilde{\mathcal{K}}_\infty := \operatorname{ind}_{N_{n,D}}^{P_{n,D}} \psi_{n,D} \hookrightarrow \theta_{D_\infty}(\tau_\infty, \ell)$$

- ▶ Consider the  $P_{n,D}(\mathbb{A})$ -representation

$$\theta_D(\tau, \ell)_{fin} \otimes \tilde{\mathcal{K}}_\infty.$$

Then  $W_\varphi(1) = 0$  for  $\varphi$  in this subspace. Contradiction.

## Application

- ▶ In the construction of the twisted doubling integrals (joint with Friedberg, Ginzburg and Kaplan), it is important to use the generalized Speh representations  $\theta(\tau, \ell)$  from a cuspidal representation of  $GL_n(\mathbb{A})$ :
- ▶ This is a generalization of the doubling integrals of Piatetski-Shapiro and Rallis.
- ▶ This gives a family of Rankin-Selberg integrals for the tensor product  $L$ -functions for a classical group and a general linear group.
- ▶ To show that the global integral is Eulerian, we use the unique degenerate model of  $\theta(\tau, \ell)$ .

To extend the twisted doubling integrals to the case of quaternionic unitary groups, representations of  $GL_{n,D}$  with unique models are required. (Analogues of the Speh representations.)