

# Cohomology sheaves of stacks of shtukas

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# Introduction

In this talk, we will

- recall the definition of the stacks of shtukas and their cohomology sheaves
- talk about the finiteness and smoothness properties of the cohomology sheaves

Let  $X$  be a smooth projective geometrically connected curve over  $\mathbb{F}_q$ ,  $\text{char } \mathbb{F}_q = p$ . Let  $F$  be its function field.

Let  $G$  be a connected reductive group over  $F$ .

In the talk : to simplify, we only consider the case without level structure (i.e. everywhere unramified) and we suppose that  $G$  is split.

Let  $\widehat{G}$  be the Langlands dual group of  $G$  over  $\mathbb{Q}_\ell$ , where  $\ell \neq p$ .

Let  $\mathbb{A}$  be the ring of adèles of  $F$  and  $\mathbb{O}$  be the ring of integral adèles. Let  $Z_G$  be the center of  $G$ . We fix a discrete subgroup  $\Xi$  in  $Z_G(\mathbb{A})$  such that  $Z_G(F)\backslash Z_G(\mathbb{A})/Z_G(\mathbb{O})\Xi$  is finite. When  $G$  is semisimple, we can take  $\Xi = 1$ .

We have the space of automorphic forms for the function field  $F$  :

$$C_c(G(F)\backslash G(\mathbb{A})/G(\mathbb{O})\Xi, \mathbb{Q}_\ell) = C_c(\text{Bun}_G(\mathbb{F}_q)/\Xi, \mathbb{Q}_\ell)$$

where  $\text{Bun}_G$  is the classifying stack of  $G$ -bundles over  $X$ .

**Example :**  $G = GL_1$ , the space of automorphic forms (here  $\Xi \simeq \mathbb{Z}$ )  $C_c(\text{Pic}_X(\mathbb{F}_q)/\Xi, \mathbb{Q}_\ell)$  has finite dimension.

**Example :**  $G = SL_2$ ,  $X = \mathbb{P}^1$ , the space of automorphic forms  $C_c(\text{Bun}_{SL_2}(\mathbb{F}_q), \mathbb{Q}_\ell)$  has infinite dimension (because there are infinitely many rank 2 vector bundles of trivial determinant on  $X$ , such as  $\mathcal{O}(n) \oplus \mathcal{O}(-n)$ ).

## Stacks of shtukas : example for $G = GL_1$

$I = \{1, 2, \dots, k\}$ ,  $W = (w_i)_{i \in I}$  with  $w_i \in \mathbb{Z}$ .

The stack of shtukas associated to  $I$  and  $W$  is the fiber product (non empty iff  $\sum_{i \in I} w_i = 0$ ) :

$$\begin{array}{ccc}
 \text{Cht}_{GL_1, I, W} & \longrightarrow & \text{Pic}_X \\
 \downarrow p & & \downarrow \text{Lang's isogeny} \\
 X^I & \xrightarrow{\text{Abel-Jacobi}} & \text{Pic}_X
 \end{array}
 \qquad
 \begin{array}{c}
 \mathcal{L} \\
 \downarrow \\
 \mathcal{L}^{-1} \otimes \tau \mathcal{L}
 \end{array}$$

$$(x_i)_{i \in I} \mapsto \mathcal{O}_{X \times_S}(\sum w_i x_i)$$

For any  $S$  affine scheme over  $\mathbb{F}_q$ ,  $\text{Pic}_X(S) = \{\mathcal{L} \text{ line bundle on } X \times_{\mathbb{F}_q} S\}$ ,  
 $\tau \mathcal{L} := (\text{Id}_X \times \text{Frob}_S)^* \mathcal{L}$ , where  $\text{Frob}_S$  is the absolute Frobenius over  $\mathbb{F}_q$ .

$$\text{Cht}_{GL_1, I, W}(S) = \{(x_i)_{i \in I} \in X^I(S), \mathcal{L} \xrightarrow{\sim} \tau \mathcal{L}(\sum w_i x_i)\}.$$

A **shtuka** is a  $S$ -point of the stack of shtukas. The points  $x_i$  are called the paws of the shtuka. The morphism  $p$  is called the morphism of paws.

Example :  $I = \{1, 2\}$ ,  $w_1 = 1$ ,  $w_2 = -1$ .

$$\begin{aligned} \text{Cht}_{GL_1, I, W}(S) &= \{x_1, x_2 \in X(S), \mathcal{L} \xrightarrow{\sim} {}^T \mathcal{L}(x_1 - x_2)\} \\ &= \{x_1, x_2 \in X(S), \mathcal{L} \hookrightarrow \mathcal{L}(x_1) \hookleftarrow {}^T \mathcal{L}(x_1 - x_2)\} \\ &= \{x_1, x_2 \in X(S), \mathcal{L} \hookleftarrow \mathcal{L}(-x_2) \hookrightarrow {}^T \mathcal{L}(x_1 - x_2)\} \end{aligned}$$

When  $I$  is the empty set,  $X^I = \text{Spec } \mathbb{F}_q$ , we have  $\text{Cht}_{GL_1, \emptyset} = \text{Pic}_X(\mathbb{F}_q)$ .

## Example of Drinfeld's stacks of shtukas

$G = GL_n$ ,  $I = \{1, 2\}$ ,  $W = \text{St} \boxtimes \text{St}^*$  with  $\text{St}$  the standard representation of  $\widehat{G} = GL_n$  and  $\text{St}^*$  its dual. In the following we note  ${}^\tau \mathcal{G} := (\text{Id}_X \times \text{Frob}_S)^* \mathcal{G}$ .

$\text{Cht}_{GL_n, \{1, 2\}, \text{St} \boxtimes \text{St}^*}^{(1, 2)}(S) := \{x_1, x_2 \in X(S), \mathcal{G}_0, \mathcal{G}_1 : \text{rk } n \text{ vector bundles on } X \times_{\mathbb{F}_q} S, \mathcal{G}_0 \xrightarrow{\phi_1} \mathcal{G}_1 \xleftarrow{\phi_2} {}^\tau \mathcal{G}_0 \text{ s.t. } \phi_1 \text{ isom outside } x_1, \phi_2 \text{ isom outside } x_2, \mathcal{G}_1/\mathcal{G}_0 \text{ is an invertible sheaf on the graph of } x_1, \mathcal{G}_1/{}^\tau \mathcal{G}_0 \text{ is an invertible sheaf on the graph of } x_2\}$ .

$\text{Cht}_{GL_n, \{1, 2\}, \text{St} \boxtimes \text{St}^*}^{(2, 1)}(S) := \{x_1, x_2 \in X(S), \mathcal{G}'_0, \mathcal{G}'_1 : \text{rk } n \text{ vector bundles on } X \times_{\mathbb{F}_q} S, \mathcal{G}'_0 \xleftarrow{\phi'_1} \mathcal{G}'_1 \xrightarrow{\phi'_2} {}^\tau \mathcal{G}'_0 \text{ s.t. } \phi'_1 \text{ isom outside } x_2, \phi'_2 \text{ isom outside } x_1, \mathcal{G}'_0/\mathcal{G}'_1 \text{ is an invertible sheaf on the graph of } x_2, {}^\tau \mathcal{G}'_0/\mathcal{G}'_1 \text{ is an invertible sheaf on the graph of } x_1\}$ .

$\text{Cht}_{GL_n, \{1,2\}, \text{St} \boxtimes \text{St}^*}(S) := \{x_1, x_2 \in X(S), \mathcal{G}_0 : \text{rk } n \text{ vector bundle on}$

$\text{on } X \times_{\mathbb{F}_q} S, \mathcal{G}_0 \xrightarrow{\phi} {}^\tau \mathcal{G}_0 \text{ s.t. } \phi \text{ isom outside } x_1 \text{ and } x_2,$

$\text{there exists a diagram } \mathcal{G}_0 \dashrightarrow \mathcal{G}_1 \dashrightarrow {}^\tau \mathcal{G}_0 \text{ as above } \}$

We have the forgetting morphism

$$\text{Cht}_{GL_n, \{1,2\}, \text{St} \boxtimes \text{St}^*}^{(1,2)} \xrightarrow{\pi} \text{Cht}_{GL_n, \{1,2\}, \text{St} \boxtimes \text{St}^*} \rightarrow X^2$$

$$((x_1, x_2), \mathcal{G}_0 \hookrightarrow \mathcal{G}_1 \hookleftarrow {}^\tau \mathcal{G}_0) \mapsto ((x_1, x_2), \mathcal{G}_0 \dashrightarrow {}^\tau \mathcal{G}_0) \mapsto (x_1, x_2)$$

Outside the diagonal of  $X^2$ ,  $\pi$  is an isomorphism. **Fact : the morphism  $\pi$  is small.**

Similarly for  $\text{Cht}_{GL_n, \{1,2\}, \text{St} \boxtimes \text{St}^*}^{(2,1)} \rightarrow \text{Cht}_{GL_n, \{1,2\}, \text{St} \boxtimes \text{St}^*}$ .

## Stacks of shtukas : in general

Let  $I = \{1, 2, \dots, k\}$  be a finite set. Let  $W$  be a finite dim  $\mathbb{Q}_\ell$ -linear representation of  $\widehat{G}^I$ . Suppose  $W = \boxtimes_{i \in I} W_i$ , with  $W_i$  irreducible representation of  $\widehat{G}$  of highest weight  $\lambda_i$ .

The stack of shtukas (defined by Drinfeld and Varshavsky) associated to  $I$ ,  $W$  and order  $(1, 2, \dots, k)$  is the following fiber product

$$\begin{array}{ccc} \text{Cht}_{G,I,W}^{(1,2,\dots,k)} & \longrightarrow & \text{Bun}_G \\ \downarrow & & \downarrow (\text{Id}, \text{Frob}) \\ \text{Hecke}_{G,I,W}^{(1,2,\dots,k)} & \longrightarrow & \text{Bun}_G \times \text{Bun}_G \end{array}$$

$$((x_i), \mathcal{G}_0 \xrightarrow{\phi_1} \mathcal{G}_1 \cdots \xrightarrow{\phi_{k-1}} \mathcal{G}_{k-1} \xrightarrow{\phi_k} \mathcal{G}_k) \quad \mapsto \quad (\mathcal{G}_0, \mathcal{G}_k)$$

where  $\text{Hecke}_{G,I,W}^{(1,2,\dots,k)}$  is the Hecke stack associated to  $I$  and  $W$  :  $\phi_i$  is an isomorphism outside  $x_i$ , the relative position of  $\mathcal{G}_{i-1}$  and  $\mathcal{G}_i$  at the formal neighborhood of  $x_i$  is bounded by  $\lambda_i$ .



$$\text{Cht}_{G,I,W}^{(1,2,\dots,k)}(S) = \{((x_i)_{i \in I} \in X^I(S), \mathcal{G}_0, \mathcal{G}_1, \dots, \mathcal{G}_{k-1} : G\text{-bundles on } X \times_{\mathbb{F}_q} S, \mathcal{G}_0 \xrightarrow{\phi_1} \mathcal{G}_1 \xrightarrow{\phi_2} \dots \xrightarrow{\phi_{k-1}} \mathcal{G}_{k-1} \xrightarrow{\phi_k} {}^\tau \mathcal{G}_0 \text{ s.t. } \phi_i \text{ as above.}\}$$

We have the forgetting morphism which is a small morphism

$$\text{Cht}_{G,I,W}^{(1,2,\dots,k)} \xrightarrow{\pi} \text{Cht}_{G,I,W}$$

$$((x_i), \mathcal{G}_0 \dashrightarrow \mathcal{G}_1 \dashrightarrow \dots \dashrightarrow \mathcal{G}_{k-1} \dashrightarrow {}^\tau \mathcal{G}_0) \mapsto ((x_i), \mathcal{G}_0 \dashrightarrow {}^\tau \mathcal{G}_0)$$

In the following, to simplify, we will omit the upper index because the results are true for any upper index.

$\text{Cht}_{G,I,W}$  is a Deligne–Mumford algebraic stack locally of finite type.

We define

$$\text{Cht}_{G,I,W \oplus W'} := \text{Cht}_{G,I,W} \cup \text{Cht}_{G,I,W'}$$

We can define  $\text{Cht}_{G,I}$  which is an inductive limit of algebraic stacks.

## Satake perverse sheaf over stack of shtukas

We have the morphism of paws

$$p : \text{Cht}_{G,I,W} \rightarrow X^I$$

In general, the stack of shtukas  $\text{Cht}_{G,I,W}$  is not smooth. We have a canonical perverse sheaf  $\text{Sat}_{G,I,W}$  over  $\text{Cht}_{G,I,W}$ , which comes from the geometric Satake equivalence (Mirkovic-Vilonen).

When  $W$  is irreducible,  $\text{Sat}_{G,I,W}$  is isomorphic to the intersection complex (with coefficient in  $\mathbb{Q}_\ell$  and the perverse normalization relative to  $X^I$ ).

Example : when  $\text{Cht}_{G,I,W}$  is smooth and  $W$  irreducible,  $\text{Sat}_{G,I,W} = \text{IC-sheaf} = \mathbb{Q}_\ell[d]$ , where  $d = \dim \text{Cht}_{G,I,W} - \dim X^I$ .

$$\text{Sat}_{G,I,W \oplus W'} := \text{Sat}_{G,I,W} \oplus \text{Sat}_{G,I,W'}$$

Remark : we can directly define  $\text{Sat}_{G,I,W}$  over  $\text{Cht}_{G,I}$ . The stack  $\text{Cht}_{G,I,W}$  is the support of  $\text{Sat}_{G,I,W}$ .

## Harder-Narasimhan stratification

To simplify the notation, suppose that  $G$  is semisimple. The stack of shtukas  $\text{Cht}_{G,I,W}$  is **locally of finite type** but not necessarily of finite type.

**Example** : recall that  $\text{Bun}_{SL_2}(\mathbb{F}_q)$  is infinite.

One way to define the Harder-Narasimhan stratification : for any  $\mu$  dominant coweight of  $G$ , we have an open substack in  $\text{Bun}_G$  :

$$\text{Bun}_G^{\leq \mu} = \{ G\text{-bundle } \mathcal{G}_0, \text{ "the Harder-Narasimhan filtration" of } \mathcal{G}_0 \leq \mu \}$$

We define the truncated stack of shtukas as the fiber product :

$$\begin{array}{ccc} \text{Cht}_{G,I,W}^{\leq \mu} & \xrightarrow{\text{open}} & \text{Cht}_{G,I,W} & ((x_i), \mathcal{G}_0 \dashrightarrow \mathcal{G}_1 \cdots \dashrightarrow \tau \mathcal{G}_0) \\ \downarrow & & \downarrow & \downarrow \\ \text{Bun}_G^{\leq \mu} & \xrightarrow{\text{open}} & \text{Bun}_G & \mathcal{G}_0 \end{array}$$

The open substack  $\text{Cht}_{G,I,W}^{\leq \mu}$  is of **finite type**. And we have

$$\text{Cht}_{G,I,W} = \bigcup_{\mu} \text{Cht}_{G,I,W}^{\leq \mu}$$

## Cohomology sheaves of the stack of shtukas

Recall that we have the morphism of paws  $\mathfrak{p} : \text{Cht}_{G,I,W} \rightarrow X^I$ . We define the degree  $j \in \mathbb{Z}$  **truncated cohomology sheaf**

$$\mathcal{H}_{G,I,W}^{j, \leq \mu} := R^j \mathfrak{p}_! (\text{Sat}_{G,I,W} |_{\text{Cht}_{G,I,W}^{\leq \mu}})$$

It is a constructible  $\mathbb{Q}_\ell$ -sheaf over  $X^I$ . **Cohomology sheaves are concentrated in degree  $j \in [-d, d]$  where  $d = \dim \text{Cht}_{G,I,W} - \dim X^I$ .**

For  $\mu_1 \leq \mu_2$ , we have an open immersion

$$\text{Cht}_{G,I,W}^{\leq \mu_1} \hookrightarrow \text{Cht}_{G,I,W}^{\leq \mu_2}$$

It induces a morphism of sheaves

$$\mathcal{H}_{G,I,W}^{j, \leq \mu_1} \rightarrow \mathcal{H}_{G,I,W}^{j, \leq \mu_2}.$$

We define the degree  $j$  **cohomology sheaf** as the inductive limit

$$\mathcal{H}_{G,I,W}^j := \varinjlim_{\mu} \mathcal{H}_{G,I,W}^{j, \leq \mu}.$$

Let  $\eta_I$  be the generic point of  $X^I$ . Let  $\bar{\eta}_I$  be a geometric point over  $\eta_I$ . We define the **truncated cohomology group**  $H_{G,I,W}^{j,\leq\mu} := \mathcal{H}_{G,I,W}^{j,\leq\mu} \Big|_{\bar{\eta}_I}$  and the **cohomology group**  $H_{G,I,W}^j := \mathcal{H}_{G,I,W}^j \Big|_{\bar{\eta}_I}$ .

When  $I = \emptyset$  (empty set),  $W = \mathbf{1}$  (trivial representation), we have  $\text{Cht}_{G,\emptyset,\mathbf{1}} = \text{Bun}_G(\mathbb{F}_q)$  and  $H_{G,\emptyset,\mathbf{1}}^0 = C_c(\text{Bun}_G(\mathbb{F}_q), \mathbb{Q}_\ell)$ .

In general,  $H_{G,I,W}^j$  is a  $\mathbb{Q}_\ell$ -vector space of possibly infinite dimension, equipped with

- an action of the Hecke algebra  $\mathcal{H}_G := C_c(G(\mathbb{O}) \backslash G(\mathbb{A}) / G(\mathbb{O}), \mathbb{Q}_\ell)$  by the Hecke correspondences, **which doesn't preserve**  $H_{G,I,W}^{j,\leq\mu}$
- an action of  $\pi_1(\eta_I, \bar{\eta}_I)$  (evident), **which preserves**  $H_{G,I,W}^{j,\leq\mu}$ ,
- **an action of the partial Frobenius morphisms** (one of the key properties of stack of shtukas), **which doesn't preserve**  $H_{G,I,W}^{j,\leq\mu}$

## Partial Frobenius morphisms : an example

Consider Drinfeld's stacks of shtukas. Let  $G = GL_n$ ,  $I = \{1, 2\}$ ,  $W = St \boxtimes St^*$ . Let  $\text{Frob} : X \rightarrow X$  be the absolute Frobenius.

$$(\mathcal{G}_0 \xrightarrow{\phi_1} \mathcal{G}_1 \xleftarrow{\phi_2} \tau \mathcal{G}_0) \mapsto (\mathcal{G}_1 \xleftarrow{\phi_2} \tau \mathcal{G}_0 \xrightarrow{\tau \phi_1} \tau \mathcal{G}_1) \mapsto (\tau \mathcal{G}_0 \xrightarrow{\tau \phi_1} \tau \mathcal{G}_1 \xleftarrow{\tau \phi_2} \tau \tau \mathcal{G}_0)$$

$$\begin{array}{ccccc} \text{Cht}_{G,I,W}^{(1,2)} & \xrightarrow{\text{Frob}_{\{1\}}} & \text{Cht}_{G,I,W}^{(2,1)} & \xrightarrow{\text{Frob}_{\{2\}}} & \text{Cht}_{G,I,W}^{(1,2)} \\ \downarrow \text{p} & & \downarrow \text{p} & & \downarrow \text{p} \\ X^2 & \xrightarrow{\text{Frob}_{\{1\}}} & X^2 & \xrightarrow{\text{Frob}_{\{2\}}} & X^2 \end{array}$$

$$(x_1, x_2) \mapsto (\text{Frob}(x_1), x_2) \mapsto (\text{Frob}(x_1), \text{Frob}(x_2))$$

$$\text{Frob}_{\{2\}} \circ \text{Frob}_{\{1\}} = \text{total Frobenius on } \text{Cht}_{G,I,W}^{(1,2)}$$

## Partial Frobenius morphisms : in general

In general, let  $I = \{1, 2, \dots, k\}$  and  $W$  an irreducible representation of  $\widehat{G}^I$ .

$$(\mathcal{G}_0 \xrightarrow{\phi_1} \mathcal{G}_1 \xrightarrow{\phi_2} \dots \mathcal{G}_{k-1} \xrightarrow{\phi_k} \tau \mathcal{G}_0) \mapsto (\mathcal{G}_1 \xrightarrow{\phi_2} \mathcal{G}_2 \xrightarrow{\phi_3} \dots \xrightarrow{\phi_k} \tau \mathcal{G}_0 \xrightarrow{\tau \phi_1} \tau \mathcal{G}_1)$$

$$\begin{array}{ccc} \text{Cht}_{G,I,W}^{(1,2,\dots,k)} & \xrightarrow{\text{Frob}_{\{1\}}} & \text{Cht}_{G,I,W}^{(2,\dots,k,1)} \\ \downarrow \text{p} & & \downarrow \text{p} \\ X^I & \xrightarrow{\text{Frob}_{\{1\}}} & X^I \end{array}$$

$$(x_1, x_2, \dots, x_k) \mapsto (\text{Frob}(x_1), x_2, \dots, x_k)$$

The composition  $\text{Frob}_{\{1\}} \circ \dots \circ \text{Frob}_{\{k\}}$  is the total Frobenius on  $\text{Cht}_{G,I,W}^{(1,2,\dots,k)}$ .

We have a canonical morphism :

$$\text{Frob}_{\{1\}}^* \text{Sat}_{G,I,W}^{(2,\dots,k,1)} \xrightarrow{\sim} \text{Sat}_{G,I,W}^{(1,2,\dots,k)} \quad (\star)$$

Recall that the morphism  $\text{Cht}_{G,I,W}^{(1,2,\dots,k)} \xrightarrow{\pi} \text{Cht}_{G,I,W}$  is small. **Fact : the cohomology sheaves of stacks of shtukas are independent of the upper index** (the fact comes from a similar argument of small morphisms of Beilinson-Drinfeld affine grassmanians). Thus the cohomological correspondence for  $(\star)$  induces a partial Frobenius morphism :

$$F_{\{1\}} : \text{Frob}_{\{1\}}^* \mathcal{H}_{G,I,W}^{j, \leq \mu} \rightarrow \mathcal{H}_{G,I,W}^{j, \leq \mu + \kappa}$$

Similarly, we have  $F_{\{2\}}, \dots, F_{\{k\}}$ .

The composition  $F_{\{1\}} \circ \dots \circ F_{\{k\}}$  is the total Frobenius morphism (composed with an augmentation of  $\mu$ ). The  $F_{\{i\}}$  are called the **partial Frobenius morphisms**.

Taking the inductive limit, we have isomorphisms

$$F_{\{i\}} : \text{Frob}_{\{i\}}^* \mathcal{H}_{G,I,W}^j \xrightarrow{\sim} \mathcal{H}_{G,I,W}^j$$



## Drinfeld's lemma and the work of V. Lafforgue

Recall that  $F$  is the function field of  $X$ . Let  $\eta = \text{Spec } F$  be the generic point of  $X$  and  $\bar{\eta} = \text{Spec } \bar{F}$  be a geometric point over  $\eta$ . Note that  $\pi_1(\eta, \bar{\eta}) = \text{Gal}(\bar{F}/F)$ . We have a commutative diagram

$$\begin{array}{ccccccc} 1 & \rightarrow & \pi_1^{\text{geo}}(\eta_I, \bar{\eta}_I) & \rightarrow & \pi_1(\eta_I, \bar{\eta}_I) & \rightarrow & \widehat{\mathbb{Z}} \rightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \rightarrow & \pi_1^{\text{geo}}(\eta, \bar{\eta})^I & \rightarrow & \pi_1(\eta, \bar{\eta})^I & \rightarrow & \widehat{\mathbb{Z}}^I \rightarrow 1 \end{array}$$

**Drinfeld's lemma ( $\mathbb{Z}_\ell$ -version)** (proved in [Drinfeld 89] and recalled in [V. Lafforgue]) : if a finite type  $\mathbb{Z}_\ell$ -module is equipped with an action of  $\pi_1(\eta_I, \bar{\eta}_I)$  and an action of the partial Frobenius morphisms, then it is equipped with an action of  $\pi_1(\eta, \bar{\eta})^I$ .

V. Lafforgue defined Hecke-finite cohomology  $H_{G,I,W}^{j,\text{Hf}} \subset H_{G,I,W}^j$  (a sub  $\mathbb{Q}_\ell$ -vector space). By the Eichler-Shimura relations,  $H_{G,I,W}^{j,\text{Hf}}$  is an inductive limit of finite type  $\mathbb{Z}_\ell$ -modules which are equipped with an action of the partial Frobenius morphisms. By Drinfeld's lemma,  $H_{G,I,W}^{j,\text{Hf}}$  is equipped with an action of  $\text{Gal}(\bar{F}/F)^I$ .

Let  $C_c^{\text{cusp}} \subset C_c(\text{Bun}_G(\mathbb{F}_q), \overline{\mathbb{Q}}_\ell)$  be the space of cuspidal automorphic forms.  $C_c^{\text{cusp}}$  is of finite dimension.

**Excursion operator** associated to  $I, W$  and  $(\gamma_i)_{i \in I} \in \text{Gal}(\overline{F}/F)^I$  :

$$C_c^{\text{cusp}} = H_{G, \emptyset, \mathbf{1}}^{0, \text{Hf}} \xrightarrow{\text{creation}} H_{G, I, W}^{0, \text{Hf}} \xrightarrow{(\gamma_i)_{i \in I}} H_{G, I, W}^{0, \text{Hf}} \xrightarrow{\text{annihilation}} H_{G, \emptyset, \mathbf{1}}^{0, \text{Hf}} = C_c^{\text{cusp}}$$

where "creation" and "annihilation" are constructed by using the functoriality of  $H_{G, I, W}^0$  on  $W$  and the fusion (factorization).

### Theorem (V. Lafforgue)

We have a canonical decomposition as  $\mathcal{H}_G$ -modules :

$C_c^{\text{cusp}} = \bigoplus_{\sigma: \text{Gal}(\overline{F}/F) \rightarrow \widehat{G}(\overline{\mathbb{Q}}_\ell)} \mathfrak{H}_\sigma$ ,  $\sigma$  is  $\widehat{G}(\overline{\mathbb{Q}}_\ell)$ -conjugacy class of continuous, semisimple, everywhere unramified morphisms, the decomposition is compatible with the Satake isomorphism, i.e. for every place  $v$  of  $X$ , every irr rep  $V$  of  $\widehat{G}$ , the Hecke operator associated to  $v$  and  $V$  acts on  $\mathfrak{H}_\sigma$  by multiplication by the scalar  $\text{Tr}_V(\sigma(\text{Frob}_v))$ .

## More on Drinfeld's lemma

**Drinfeld's lemma ( $\mathbb{Q}_\ell$ -version)** (proved by Drinfeld, written in my paper [Finiteness]) : if a finite dim  $\mathbb{Q}_\ell$ -vector space is equipped with an action of  $\text{Weil}(\eta_I, \bar{\eta}_I)$  and an action of the partial Frobenius morphisms, then it is equipped with an action of  $\text{Weil}(\eta, \bar{\eta})^f$ .

An easy generalization is :

**Drinfeld's lemma (Hecke-version)** : if a finite type module over a **local Hecke algebra** (or over any finitely generated commutative  $\mathbb{Q}_\ell$ -algebra) is equipped with an action of  $\text{Weil}(\eta_I, \bar{\eta}_I)$  and an action of the partial Frobenius morphisms, then it is equipped with an action of  $\text{Weil}(\eta, \bar{\eta})^f$ .

# Finiteness

My previous works : using the **constant term morphisms** for the cohomology groups of stacks of shtukas, we prove

## Theorem 1

$H_{G,I,W}^j$  is a module of finite type over a local Hecke algebra.

Then by Drinfeld's lemma (Hecke-version), we have

## Proposition 1

$H_{G,I,W}^j$  is equipped with an action of  $\text{Weil}(\eta, \bar{\eta})'$ .

Besides, using the constant term morphisms, we also prove

## Theorem 2

(a) The  $\mathbb{Q}_\ell$ -v.s.  $H_{G,I,W}^{j, \text{Hf}}$  equals to  $H_{G,I,W}^{j, \text{cusp}}$  and they have finite dim.

(b)  $H_{G,I,W}^{j, \text{cusp}} = \bigoplus_{\sigma: \text{Gal}(\bar{F}/F) \rightarrow \widehat{G}(\overline{\mathbb{Q}_\ell})} (H_{G,I,W}^{j, \text{cusp}})_\sigma$ ,  $\sigma$  satisfying the conditions...

## A new proof

In my recent work, which doesn't use the constant term morphisms at all, I give another proof of

### Proposition 1

$H_{G,I,W}^j$  is equipped with an action of  $\text{Weil}(\eta, \bar{\eta})'$ .

and we prove

### Proposition 2

The restriction  $\mathcal{H}_{G,I,W}^j|_{(\bar{\eta})'}$  is constant over  $(\bar{\eta})' := \bar{\eta} \times_{\mathbb{F}_q} \cdots \times_{\mathbb{F}_q} \bar{\eta}$ .

Remark : if  $\mathcal{H}_{G,I,W}^j$  is of the form  $\boxtimes_{i \in I} \mathcal{F}_i$ , then both propositions are trivial.

Idea of the proof of Proposition 1 : we have  $\mathcal{H}_{G,I,W}^j \Big|_{\overline{\eta_I}} := \varinjlim_{\mu} \mathfrak{M}_{\mu}$  with

$$\mathfrak{M}_{\mu} := \sum_{(n_i)_{i \in I} \in \mathbb{N}^I} \left( \otimes_{i \in I} \mathcal{H}_{G,v_i} \right) \cdot \left( \prod_{i \in I} \text{Frob}_{\{i\}}^{n_i} \mathcal{H}_{G,I,W}^{j, \leq \mu} \right) \Big|_{\overline{\eta_I}}$$

where  $v_i$  are closed points of  $X$  (chosen such that  $\times_{i \in I} v_i$  is included in the smooth locus of  $\mathcal{H}_{G,I,W}^{j, \leq \mu}$ ) and  $\mathcal{H}_{G,v_i}$  is the local Hecke algebra on  $v_i$ .

By the Eichler-Shimura relations, the sum is in fact over a finite number of  $(n_i)_{i \in I}$ . Thus each  $\mathfrak{M}_{\mu}$  is a module of finite type over a Hecke algebra.

By Drinfeld's lemma (Hecke-version), we prove Proposition 1.

Idea of the proof of Proposition 2, we need a lemma :  
 for any geometric point  $\bar{x}$  of  $(\bar{\eta})'$  and any specialisation map  $\bar{\eta}_l \rightarrow \bar{x}$  in  $(\bar{\eta})'$ , the induced morphism

$$\mathcal{H}_{G,I,W}^j \Big|_{\bar{x}} \rightarrow \mathcal{H}_{G,I,W}^j \Big|_{\bar{\eta}_l}$$

is an isomorphism, i.e.  $\mathcal{H}_{G,I,W}^j \Big|_{(\bar{\eta})'}$  is ind-smooth.

The proof of this lemma is very similar to V. Lafforgue's proof of the fact that  $\mathcal{H}_{G,I,W}^j \Big|_{\Delta(\bar{\eta})} \rightarrow \mathcal{H}_{G,I,W}^j \Big|_{\bar{\eta}_l}$  is an isomorphism (which uses the Eichler-Shimura relations).

Then, Proposition 1 implies that  $\mathcal{H}_{G,I,W}^j \Big|_{(\bar{\eta})'}$  is constant.

# Smoothness

## Theorem 3

The  $\mathbb{Q}_\ell$ -sheaf  $\mathcal{H}_{G,I,W}^j$  is ind-smooth over  $X^I$ .

Ind-smooth means an inductive limit of smooth (i.e. lisse)  $\mathbb{Q}_\ell$ -sheaves. Equivalently, for any geometric points  $\bar{x}, \bar{y}$  of  $X^I$  and any specialisation map  $\bar{x} \rightarrow \bar{y}$ , the induced morphism  $\mathcal{H}_{G,I,W}^j|_{\bar{y}} \rightarrow \mathcal{H}_{G,I,W}^j|_{\bar{x}}$  is an isomorphism.

Remark : if  $\text{Cht}_{G,I,W}$  is proper (for example : [Eike Lau, On degenerations of  $\mathcal{D}$ -shtukas]), then  $\mathcal{H}_{G,I,W}^j$  is a constructible  $\mathbb{Q}_\ell$ -sheaf. We know that  $\mathcal{H}_{G,I,W}^j$  is a smooth  $\mathbb{Q}_\ell$ -sheaf over  $X^I$ .

## Corollary

The action of  $\text{Weil}(\eta, \bar{\eta})^I$  on  $\mathcal{H}_{G,I,W}^j|_{\bar{\eta}^I}$  factors through  $\text{Weil}(X, \bar{\eta})^I$



## Proof of smoothness : example of $I$ singleton

Let  $I = \{1\}$  be a singleton. Let  $W$  be a representation of  $\widehat{G}$ . We have a cohomology sheaf  $\mathcal{H}_{G, \{1\}, W}^j$  over  $X$ .

For any geometric point  $\bar{v}$  of  $X$  (over a closed point  $v$ ) and any specialization map  $\mathfrak{sp} : \bar{\eta} \rightarrow \bar{v}$ , we have an induced morphism

$$\mathfrak{sp}^* : \mathcal{H}_{G, \{1\}, W}^j \Big|_{\bar{v}} \rightarrow \mathcal{H}_{G, \{1\}, W}^j \Big|_{\bar{\eta}}$$

We want to prove that  $\mathfrak{sp}^*$  is an isomorphism. This is equivalent to say that  $\mathcal{H}_{G, \{1\}, W}^j$  is ind-smooth over  $X$ .

Idea : construct an inverse of  $\mathfrak{sp}^*$  using some creation and annihilation operators and Proposition 2.

# Construction of a morphism $\mathcal{H}_{G,\{1\},W}^j|_{\bar{\eta}} \rightarrow \mathcal{H}_{G,\{1\},W}^j|_{\bar{v}}$

Let  $\alpha$  be the composition of the morphisms :

$$\begin{array}{c}
 \mathcal{H}_{G,\{1\},W}^j|_{\bar{\eta}} \otimes \mathbb{Q}_\ell|_{\bar{v}} \\
 \downarrow \mathfrak{C}_\delta^{\#, \{2,3\}} \text{ creation operator} \\
 \mathcal{H}_{\{1,2,3\}, W \boxtimes W^* \boxtimes W}^j|_{\bar{\eta} \times \Delta^{\{2,3\}}(\bar{v})} \\
 \downarrow \text{sp}_{\{2\}}^* \text{ canonical morphism} \\
 \mathcal{H}_{\{1,2,3\}, W \boxtimes W^* \boxtimes W}^j|_{\Delta^{\{1,2\}}(\bar{\eta}) \times \bar{v}} \\
 \downarrow \mathfrak{C}_{\text{ev}}^{b, \{1,2\}} \text{ annihilation operator} \\
 \mathbb{Q}_\ell|_{\bar{\eta}} \otimes \mathcal{H}_{G,\{3\},W}^j|_{\bar{v}}
 \end{array}$$

## Construction of the morphism $\mathfrak{sp}_{\{2\}}^*$

If  $\mathcal{H}_{\{1,2,3\}, W \boxtimes W^* \boxtimes W}^j = \mathcal{F}_1 \boxtimes \mathcal{F}_2 \boxtimes \mathcal{F}_3$  with  $\mathcal{F}_1, \mathcal{F}_2$  and  $\mathcal{F}_3$   $\mathbb{Q}_\ell$ -sheaves over  $X$ , then  $\mathfrak{sp}_{\{2\}}^*$  is just

$$\mathcal{F}_1|_{\bar{\eta}} \otimes \mathcal{F}_2|_{\bar{v}} \otimes \mathcal{F}_3|_{\bar{v}} \xrightarrow{\text{Id} \otimes \mathfrak{sp}^* \otimes \text{Id}} \mathcal{F}_1|_{\bar{\eta}} \otimes \mathcal{F}_2|_{\bar{\eta}} \otimes \mathcal{F}_3|_{\bar{v}}$$

In general, similar to Proposition 2, using the Eichler-Shimura relations and Drinfeld's lemma (Hecke-version) we show that the restriction of  $\mathcal{H}_{\{1,2,3\}, W \boxtimes W^* \boxtimes W}^j$  to the schemes  $\bar{\eta} \times \bar{\eta} \times \bar{\eta}$ ,  $\bar{\eta} \times \bar{\eta} \times \bar{v}$ ,  $\bar{\eta} \times \bar{v} \times \bar{\eta}$  and  $\bar{v} \times \bar{\eta} \times \bar{\eta}$  are constant sheaves. Then using a technical lemma, we construct the morphism  $\mathfrak{sp}_{\{2\}}^*$ .

## Reminder about the "Zorro" lemma

Note that the composition

$$W \otimes \mathbb{Q}_\ell \xrightarrow{Id \otimes \delta} W \otimes W^* \otimes W \xrightarrow{ev \otimes Id} \mathbb{Q}_\ell \otimes W$$

is the identity.

By the functoriality, we have

### "Zorro" lemma

The composition of morphisms of sheaves over  $X$  :

$$\mathcal{H}_{\{1\}, W}^j \otimes \mathbb{Q}_\ell \xrightarrow{c_\delta^{\#, \{2,3\}}} \mathcal{H}_{\{1,2,3\}, W \boxtimes W^* \boxtimes W}^j \Big|_{\Delta^{\{1,2,3\}}(X)} \xrightarrow{c_{ev}^{b, \{1,2\}}} \mathbb{Q}_\ell \otimes \mathcal{H}_{\{3\}, W}^j$$

is the identity.

## Proof of $\alpha \circ \mathit{sp}^* = \text{Id}$

The following diagram is commutative

$$\begin{array}{ccc}
 \mathcal{H}_{\{1\}, W}^j \Big|_{\bar{v}} \otimes \mathbb{Q} \ell \Big|_{\bar{v}} & \xrightarrow{\mathit{sp}^*} & \mathcal{H}_{\{1\}, W}^j \Big|_{\bar{\eta}} \otimes \mathbb{Q} \ell \Big|_{\bar{v}} \\
 \downarrow \mathit{c}_{\delta}^{\#, \{2,3\}} & & \downarrow \mathit{c}_{\delta}^{\#, \{2,3\}} \\
 \mathcal{H}_{\{1,2,3\}, W \boxtimes W^* \boxtimes W}^j \Big|_{\Delta_{\{1,2,3\}}(\bar{v})} & \xrightarrow{\mathit{sp}_{\{1\}}^*} & \mathcal{H}_{\{1,2,3\}, W \boxtimes W^* \boxtimes W}^j \Big|_{\bar{\eta} \times \Delta_{\{2,3\}}(\bar{v})} \\
 \downarrow \mathit{c}_{\text{ev}}^{b, \{1,2\}} & \searrow \mathit{sp}_{\{1,2\}}^* & \downarrow \mathit{sp}_{\{2\}}^* \\
 & & \mathcal{H}_{\{1,2,3\}, W \boxtimes W^* \boxtimes W}^j \Big|_{\Delta_{\{1,2\}}(\bar{\eta}) \times \bar{v}} \\
 & & \downarrow \mathit{c}_{\text{ev}}^{b, \{1,2\}} \\
 \mathbb{Q} \ell \Big|_{\bar{v}} \otimes \mathcal{H}_{\{3\}, W}^j \Big|_{\bar{v}} & \xrightarrow[\simeq]{\text{Id}} & \mathbb{Q} \ell \Big|_{\bar{\eta}} \otimes \mathcal{H}_{\{3\}, W}^j \Big|_{\bar{v}}
 \end{array}$$

The composition of the right vertical morphisms is  $\alpha$ . By "Zorro" lemma, the composition of the left vertical morphisms is the identity.

## Proof of $\text{sp}^* \circ \alpha = \text{Id}$

The following diagram is commutative

$$\begin{array}{ccc}
 \mathcal{H}_{\{1\}, W}^j \Big|_{\bar{\eta}} \otimes \mathbb{Q}_\ell \Big|_{\bar{\nu}} & \xrightarrow{\simeq} & \mathcal{H}_{\{1\}, W}^j \Big|_{\bar{\eta}} \otimes \mathbb{Q}_\ell \Big|_{\bar{\eta}} \\
 \mathcal{C}_{\delta}^{\#, \{2,3\}} \downarrow & & \downarrow \mathcal{C}_{\delta}^{\#, \{2,3\}} \\
 \mathcal{H}_{\{1,2,3\}, W \boxtimes W^* \boxtimes W}^j \Big|_{\bar{\eta} \times_{\mathbb{F}_q} \Delta^{\{2,3\}}(\bar{\nu})} & \xrightarrow{\text{sp}^*_{\{2,3\}}} & \mathcal{H}_{\{1,2,3\}, W \boxtimes W^* \boxtimes W}^j \Big|_{\Delta^{\{1,2,3\}}(\bar{\eta})} \\
 \text{sp}^*_{\{2\}} \downarrow & \nearrow \text{sp}^*_{\{3\}} & \downarrow \mathcal{C}_{\text{ev}}^{b, \{1,2\}} \\
 \mathcal{H}_{\{1,2,3\}, W \boxtimes W^* \boxtimes W}^j \Big|_{\Delta^{\{1,2\}}(\bar{\eta}) \times_{\mathbb{F}_q} \bar{\nu}} & & \\
 \mathcal{C}_{\text{ev}}^{b, \{1,2\}} \downarrow & & \downarrow \\
 \mathbb{Q}_\ell \Big|_{\bar{\eta}} \otimes \mathcal{H}_{\{3\}, W}^j \Big|_{\bar{\nu}} & \xrightarrow{\text{sp}^*} & \mathbb{Q}_\ell \Big|_{\bar{\eta}} \otimes \mathcal{H}_{\{3\}, W}^j \Big|_{\bar{\eta}}
 \end{array}$$

The composition of the left vertical morphisms is  $\alpha$ . By "Zorro" lemma, the composition of the right vertical morphisms is the identity.

## Some general remarks

1. When there is a level structure  $N \subset X$ , the cohomology sheaf  $\mathcal{H}_{G,N,I,W}^j$  is ind-smooth over  $(X \setminus N)^I$ .
2. The same argument of smoothness works for any reductive group over  $F$ . (The constant term morphisms are only for split groups for the moment.)
3. The same argument works for cohomology with  $\mathbb{Z}_\ell$ -coefficients (in the place of  $\mathbb{Q}_\ell$ -coefficients).
4. Remark of Gaitsgory and Varshavsky : using the smoothness of  $\mathcal{H}_{G,I,W}^j$  and the constant term morphisms, we can prove that when  $\mu$  is big enough,  $\mathcal{H}_{G,I,W}^{j, \leq \mu}$  is smooth over  $X^I$ .

5. We have

$$\mathrm{Rep}(\widehat{G}') \rightarrow \mathrm{Ind}\text{-}\mathrm{Const}(X'), \quad W \mapsto \mathcal{H}_{G,I,W}$$

By the smoothness property, we have  $\mathrm{Rep}(\widehat{G}') \rightarrow \mathrm{Ind}\text{-}\mathrm{Lisse}(X')$ . This is used in the proof of

$$\mathrm{Tr}(\mathrm{Frob}_*, \mathrm{Shv}_{\mathrm{Nil}p}(\mathrm{Bun}_G)) \xrightarrow{\sim} C_c(\mathrm{Bun}_G(\mathbb{F}_q), \overline{\mathbb{Q}}_\ell)$$

and

$$\mathrm{Tr}(\mathrm{Frob}_* \circ \mathrm{Hecke}_{I,W}, \mathrm{Shv}_{\mathrm{Nil}p}(\mathrm{Bun}_G)) \xrightarrow{\sim} H_{G,I,W}$$

in [Arinkin-Gaitsgory-Kazhdan-Raskin-Rozenblyum-Varshavsky].

6. When there is a level structure  $N$ , we have (example with  $I$  singleton)

$$\begin{array}{ccccccc}
 \mathrm{Cht}_{N,\{1\},W} & \xrightarrow{\pi} & \mathrm{Cht}_{\{1\},W} \Big|_{X \setminus N} & \longrightarrow & \mathrm{Cht}_{\{1\},W} & \longleftarrow & \mathrm{Cht}_{\{1\},W} \Big|_{\nu} \\
 & \searrow & \downarrow p & & \downarrow p & & \downarrow p \\
 & & X \setminus N & \longrightarrow & X & \longleftarrow & \nu \in N
 \end{array}$$

We hope to prove that for  $\pi! \mathrm{Sat}_{N,\{1\},W}$ , the nearby cycles commute with  $p_!$  (in progress).